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**OCT 31 2006**

Atty Docket No. 023070-142610US

PTO FAX NO.: 1-571-273-8300

ATTENTION: Examiner Not Yet Assigned

Group Art Unit 3738

**OFFICIAL COMMUNICATION  
FOR THE PERSONAL ATTENTION OF  
EXAMINER Not Yet Assigned**

**CERTIFICATION OF FACSIMILE TRANSMISSION**

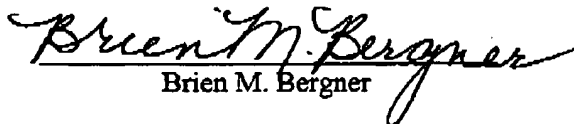
I hereby certify that the following documents in re Application of Alexey V. ELISEEV, Application No. 10/536,626, filed May 26, 2005 for CHARGED CYCLODEXTRIN DERIVATIVES AND THEIR USE IN PLANT CELL AND TISSUE CULTURE GROWTH MEDIA are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Request for Corrected Filing Receipt (2 pages)
2. Markup Copy of Official Filing Receipt and Notice of Acceptance, dated August 11, 2006 (5 pages)
3. Copy of Application Data Sheet (ADS) originally submitted May 26, 2006 (2 pages)

Number of pages being transmitted, including this page: 10

Dated: October 31, 2006

  
Brien M. Bergner

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY  
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TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
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Telephone: 415-576-0200  
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PATENT  
Docket No.: 023070-142610US  
Client Ref. No.: 2004-189-1

TOWNSEND and TOWNSEND and CREW LLP

By: Brian M. Bergner  
Brian M. Bergner

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Alexey V. ELISEEV

Patent No.:

Issued:

Application No.: 10/536,626

Filed: May 26, 2005

For: CHARGED CYCLODEXTRIN  
DERIVATIVES AND THEIR USE IN  
PLANT CELL AND TISSUE CULTURE  
GROWTH MEDIA

Confirmation No.: 2266

Examiner: Not Yet Assigned

Art Unit: 3738

**REQUEST FOR CORRECTED  
FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a markup copy of the Official Filing Receipt and Notice of Acceptance received from the Patent and Trademark Office in the above-noted application which shows the requested changes and for which issuance of a Corrected Filing Receipt is respectfully requested.

The PCT application number in the Domestic Priority data as claimed by applicant section and on the Notice of Acceptance is incorrect. The application number should read PCT/US03/38338 not /38388.

The US non-provisional application 10/065938, filed 12/02/2002, should be listed in the Domestic Priority data as claimed by applicant section not in the Foreign Applications section.

Alexey V. ELISEEV  
Application No.: 10/536,626  
Page 2

PATENT

Attached is the Application Data Sheet (ADS) originally submitted May 26, 2006, which correctly displays both application numbers in the **Domestic Priority Information** section.

The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,



Kevin Bastian  
Reg. No. 34,774

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UNITED STATES PATENT AND TRADEMARK OFFICE

023070-142610US

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

KUB, SF

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/536,626	05/26/2005	3738	400	023070-142610US	5	16	4

CONFIRMATION NO. 2266

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SAN FRANCISCO, CA 94111-3834

FILING RECEIPT



\*OC000000019910028\*

Date Mailed: 08/11/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Alexey V. Eliseev, Brookline, MA;

**Assignment For Published Patent Application**

The Regents of the University of California, Oakland, CA

**Power of Attorney:** The patent practitioners associated with Customer Number 20350.

**Domestic Priority data as claimed by applicant**

30350

This application is a 371 of PCT/US03/30350 12/03/2003 \*

(\*)Data provided by applicant is not consistent with PTO records.

**Foreign Applications**

UNITED STATES OF AMERICA 10065938 12/02/2002

If Required, Foreign Filing License Granted: 08/04/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/536,626**

**Projected Publication Date:** 11/16/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**\*\* SMALL ENTITY \*\*****Title**

Charged cyclodextrin derivatives and their use in plant cell and tissue culture growth media

**Preliminary Class**

623

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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#### **NOT GRANTED**

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U.S. APPLICATION NUMBER NO. 10/536,626	FIRST NAMED APPLICANT Alexey V. Eliseev	ATTY. DOCKET NO. 023070-142610US
-------------------------------------------	--------------------------------------------	-------------------------------------

INTERNATIONAL APPLICATION NO.
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PCT/US03/38388 ~~38332~~

I.A. FILING DATE 12/01/2003	PRIORITY DATE 12/02/2002
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EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 2286

371 ACCEPTANCE LETTER



Date Mailed: 08/11/2006

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>05/26/2005</u>	<u>05/26/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 05/26/2005
- Copy of the International Search Report filed on 05/28/2005
- Oath or Declaration filed on 05/26/2005
- Request for Immediate Examination filed on 05/26/2005
- U.S. Basic National Fees filed on 05/26/2005
- Power of Attorney filed on 08/11/2005
- Specification filed on 05/26/2005
- Claims filed on 05/26/2005
- Drawings filed on 05/26/2005

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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KAYA L LEWIS BALTIMORE  
Telephone: (703) 308-9140 EXT 202

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)



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**Application Data Sheet**

**Application Information**

Application number::  
 Filing Date::  
 Application Type:: Regular  
 Subject Matter:: Utility  
 Title:: Charged Cyclodextrin Derivatives And Their  
 Use In Plant Cell And Tissue Culture Growth  
 Media  
 Attorney Docket Number:: 023070-142610US  
 Request for Early Publication:: No  
 Request for Non-Publication:: No  
 Total Drawing Sheets:: 0  
 Small Entity?:: Yes  
 Petition included?:: No  
 Secrecy Order in Parent Appl.:: No

**Applicant Information**

Applicant Authority Type:: Inventor  
 Primary Citizenship Country:: US  
 Status:: Full Capacity  
 Given Name:: Alexey  
 Middle Name:: V.  
 Family Name:: ELISEEV  
 City of Residence:: Brookline  
 State or Province of Residence:: MA  
 Country of Residence:: US  
 Street of Mailing Address:: 419 Washington Street, #1  
 City of Mailing Address:: Brookline  
 State or Province of mailing address:: MA  
 Country of mailing address:: US

**COPY**

Postal or Zip Code of mailing address:: 02446

**Correspondence Information**

Correspondence Customer Number:: 20350

**Representative Information**

Representative Customer Number:: 20350

**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/US2003/0383	12/01/03
PCT/US2003/03833	An Appn claiming	38	
8	priority of	10/065,938	12/02/02

**Foreign Priority Information**

Country::	Application number::	Filing Date::
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**Assignee Information**

Assignee Name::	The Regents of the University of California
Street of mailing address::	1111 Franklin Street, 12th Floor
City of mailing address::	Oakland
State or Province of mailing address::	CA
Country of mailing address::	US
Postal or Zip Code of mailing address::	94607